

Ziv Investment Company
SEC Rule 606 Report

Quarterly Report for the Quarter Ending Friday, September 28, 2018

SECURITIES LISTED ON THE NEW YORK STOCK EXCHANGE

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS

100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

98.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

2.00%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS:

KCG (Knight Capital Group) - Virtu	50.00%
Celadon	50.00%

SECURITIES LISTED ON THE NASDAQ STOCK MARKET

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS

100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

100.00%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS:

KCG (Knight Capital Group) - Virtu	50.00%
Celadon	50.00%

SECURITIES LISTED ON THE AMERICAN STOCK EXCHANGE OR REGIONAL EXCHANGE

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS

100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

100.00%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS:

KCG (Knight Capital Group) - Virtu	50.00%
Celadon	50.00%

OPTION SECURITIES LISTED ON REGIONAL EXCHANGES

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS

100.00%

MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

100.00%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS

0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS:

KCG (Knight Capital Group) - Virtu	50.00%
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Celadon	50.00%
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